

# The Impact of Encoding-Decoding Schemes and Weight Normalization in Spiking Neural Networks

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## Abstract

Spike-timing Dependent Plasticity (STDP) is a learning mechanism that can capture causal relationships between events. STDP is considered a foundational element of memory and learning in biological neural networks. Previous research efforts endeavored to understand the functionality of STDP's learning window in spiking neural networks (SNNs). In this study, we investigate the interaction among different encoding/decoding schemes, STDP learning windows and normalization rules for the SNN classifier, trained and tested on MNIST, NIST and ETH80-Contour datasets. The results show that when no normalization rules are applied, classical STDP typically achieves the best performance. Additionally, first-spike decoding classifier requires much less decoding time than a spike count decoding classifier. Thirdly, when no normalization rule is applied, the classifier accuracy decreases as the encoding duration increases from 10 ms to 34 ms using count decoding scheme. Finally, normalization of output weights is shown to improve the performance of a first-spike decoding classifier.

*Keywords:* Spiking Neural Network, Spike-Timing Dependent Plasticity, Learning Window, Encoding, Decoding, Normalization.

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## 1. Introduction

Spiking Neural Networks (SNN) are the third generation of artificial neural networks that aim to emulate the biological activity of neurons while providing a parsimonious compromise in the natural trade-off between realism and computational complexity [1]. Different from traditional Artificial Neural Networks (ANN), SNN represent data in sequences of spikes [2], the impulses of a neuron's membrane potential. Signals can be encoded in several forms, including temporal sequences of spikes, the rate of emission of spikes, or other forms [2].

Spike-Timing Dependent Plasticity (STDP) is a biological learning mechanism observed in multiple species' neural systems, and it is believed that STDP can capture the causal relationship between events that are encoded by spikes [3]. The idea behind STDP is that the connection between two neurons is strengthened or weakened depending on the relative spike times of two neurons. If the pre-synaptic spike arrives before the post-synaptic spike, the connection is strengthened, a process known as long-term potentiation (LTP). However, if the post-synaptic spike arrives first then long-term depression (LTD) is induced: the connection is weakened. STDP dwells in a vast swathe of neural systems, including the hippocampus, cerebral cortex, cerebellum-like structures and retinotectal projection [4]. Recently, it was shown that STDP may exist in absence of LTD [5].

Earlier works focus on using a variety of particular implementations of STDP to train a SNN. In [6], the authors applied classical STDP to a SNN, for which images are converted to Poisson spikes and fed into the network. An accuracy of 95% on MNIST is achieved using one proposed configuration. However, this encoding scheme requires a relatively long time to encode input samples [6]. [7] proposed a variant of STDP which enables the network to learn input patterns

26 encoded by precise times of spikes. Additionally, input signals that are encoded by  
27 arrival of the first spike can also be learned via STDP: In [8], a SNN emulating the  
28 human visual system is constructed in order to demonstrate fast feature detection.  
29 This work shows that first-spike encoding and decoding schemes coupled with  
30 unsupervised STDP enable the network to quickly detect visual features after  
31 training is complete. Later in [9], this network is augmented with a supervised  
32 STDP regulated by reward [10]. The modified network is then used for image  
33 classification. In addition to these comparisons of variants of STDP, multiple  
34 forms of STDP have been observed in biological experiments [11]. It is shown  
35 that the locations and types of synapses can largely influence the STDP learning  
36 windows. Further, normalization mechanisms have been proposed to account for  
37 global properties of synapse change [12].

38 In this work, we experiment with an SNN classifier to emulate the structure  
39 and coding scheme of the human visual system, and consider multiple STDP  
40 variants (including rewarded STDP) and normalization rules. In section 2, we  
41 formalize the framework for training a SNN classifier, including neuronal and  
42 synaptic dynamics, classifier configuration and performance evaluation. In Section  
43 3, we present results obtained by training and testing with MNIST, NIST, and  
44 ETH80-Contour datasets. Several conclusions are drawn from the experimental  
45 results. Firstly, although a count-decoding scheme achieves a greater classification  
46 accuracy, it consumes more decoding time than first-spike decoding. Secondly,  
47 when no normalization rules are applied, the accuracy of the classifier under count-  
48 decoding scheme decreases if the encoding duration is too long (relative to the  
49 length of STDP learning window). This shows the importance of normalization in  
50 the context of SNN. Finally, choosing an appropriate normalization rule is shown

51 to improve the classification performance of a first-spike decoding network.

## 52 **2. Theoretical Framework**

### 53 *2.1. Spiking Neurons*

We construct a spiking neural classifier from Leaky Integrate and Fire (LIF) units. This neural model coarsely mimics real neurons while maintaining a reasonable trade-off with computational complexity [13]. We prescribe the dynamics of this model as governed by (1)

$$\frac{\partial v}{\partial t} = \frac{(V_{\text{rest}} - v + E)}{\tau_m} \quad (1)$$

$$v = V_{\text{reset}}, \text{ if } v \geq V_t, \quad (2)$$

54 where  $v$  is membrane potential,  $V_{\text{rest}}$  is the resting potential of neuron,  $E$  is the  
55 post-synaptic potential evoked by a pre-synaptic spike (i.e.,  $E$  is the increase in  
56 membrane potential produced by an input spike),  $\tau_m$  is the membrane potential time  
57 constant, and  $V_t$  is the neuron's spiking threshold. The neuron emits a spike when  
58  $v$  exceeds  $V_t$  and its membrane potential resets to  $V_{\text{reset}}$ . A neuron's membrane  
59 potential settles to  $V_{\text{rest}}$  at equilibrium (e.g., when it receives no pre-synaptic  
60 spikes).

61 There are several properties of membrane potential dynamics that emerge from  
62 (1). First, observe that a neuron's spiking is driven by its membrane potential.  
63 This spike can be stimulated by increasing  $E$ , an effect induced by the reception  
64 of input spikes. Additionally, note that choice of the parameter,  $\tau_m$ , determines a  
65 neuron's excitability. If  $\tau_m$  is large, then the neuron tends to be reluctant to vary its  
66 membrane potential. Conversely, when  $\tau_m$  is small, even very small perturbations

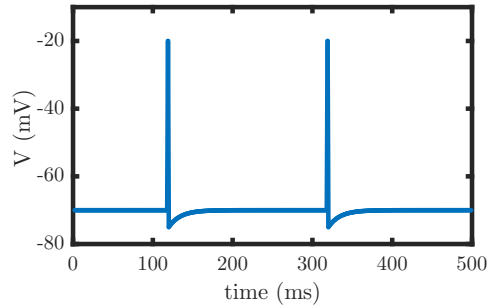


Figure 1: Depicted above is a trace of membrane potential (shown as a function of time) of a typical spiking neuron engaging in two spiking events

67 in  $E$  can produce spikes. Figure 1 demonstrates a spiking behavior emerging from  
 68 the prescribed neuronal dynamics.

## 69 2.2. *Synapse Dynamics and Plasticity*

70 Synapse dynamics determine how pre-synaptic activity affects future post-  
 71 synaptic spiking by adjusting connection strength in response to coactivity. We  
 72 model synaptic dynamics with the Spike Response Model (SRM) [14]. A major  
 73 assumption is that a pre-synaptic spike causes an exponentially decreasing post-  
 74 synaptic voltage. STDP serves as the main rationale of synapse plasticity in our  
 75 model. In addition to the STDP with the classical learning window, we also propose  
 76 three new learning windows. Three normalization rules are also applied to our  
 77 model, an augmentation that improves global stability of our network. Finally,  
 78 inspired by the reinforcement learning found in the brain, we couple STDP and the  
 79 normalization rules with a reward signal [10].

80 *2.2.1. Synapse Dynamics*

Equation 4 describes our dynamical model of synaptic transmission (i.e., the effect on post-synaptic potential induced by incoming spikes).

$$E_j = E_j + \alpha \sum_{i=1}^I w_{i,j} s_i, \quad \text{if a pre-synaptic spike is received} \quad (3)$$

$$\frac{\partial E_j}{\partial t} = -\frac{E_j}{\tau_n}, \quad \text{otherwise} \quad (4)$$

81 where  $i$  and  $j$  are the indices for the pre- and post-synaptic neurons, respectively.  
82  $E_j$  is the increase in post-synaptic potential evoked by the spike in question,  $I$  is  
83 the number of pre-synaptic neurons,  $w_{i,j}$  is the strength of the connection from  
84 neuron  $i$  to neuron  $j$ , and  $s_i$  is an indicator function taking the value 1 when the  
85 pre-synaptic neuron spikes.  $\alpha$ , a constant in our model, is included to incorporate  
86 the effect of synaptic resistance/conductance. In the absence of pre-synaptic spikes,  
87  $E$  decays exponentially.

88 *2.2.2. STDP Learning Windows*

89 The learning window was described as asymmetrical when STDP was observed  
90 for the first time [4, 15]. Furthermore, recent work has reported that the learning  
91 window of STDP may show a symmetrical property [15]. The particular shapes of  
92 real learning windows are quite diverse [15, 4, 16, 5]. Experimental results have  
93 shown that learning window is not only affected by the relative arrival times of  
94 pre-synaptic spike and post-synaptic spikes, but also by inter-spike interval (ISI),  
95 spike pair pattern and the synapse type [17, 18, 19]. In this work, we consider the  
96 following four STDP learning windows.

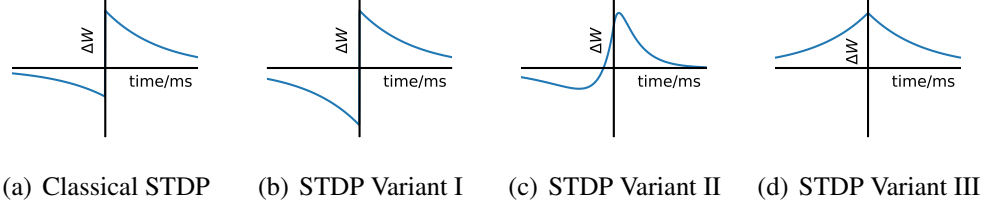


Figure 2: Learning Windows of STDP Variants

*Classical STDP.*

$$\Delta w = \begin{cases} A_{\text{pre}} \cdot \exp\left(-\frac{t_{\text{post}} - t_{\text{pre}}}{\tau_{s1}}\right), & t_{\text{post}} > t_{\text{pre}} \\ A_{\text{post}} \cdot \exp\left(-\frac{t_{\text{pre}} - t_{\text{post}}}{\tau_{s2}}\right), & t_{\text{post}} < t_{\text{pre}} \end{cases} \quad (5)$$

97 Equation (5) shows a classical STDP weight update rule, demonstrated in  
 98 [15] to govern variation of synaptic weights as functions of relative spike times,  
 99 where  $t_{\text{pre}}$  is the time of the most recent pre-synaptic spike,  $t_{\text{post}}$  is the time of the  
 100 most recent post-synaptic spike, and  $A_{\text{pre}}$  and  $A_{\text{post}}$  determine the corresponding  
 101 learning rates.  $A_{\text{pre}} > 0$  and  $A_{\text{post}} < 0$  so that  $w_{i,j}$  strengthens (and  $w_{j,i}$  weakens)  
 102 when neuron  $j$  spikes after neuron  $i$ . Notably, the change in synaptic strength is  
 103 maximized when the time between pre- and post-synaptic spikes is minimized.

104 Figure 2(a) graphically depicts this change in synaptic efficacy as a function of  
 105 the time between the relevant pre-synaptic and post-synaptic spikes. We choose  
 106  $A_{\text{pre}}$  to be  $0.0096 \cdot w_{\text{max}}$  and  $A_{\text{post}}$  to be  $-0.0053 \cdot w_{\text{max}}$  where  $w_{\text{max}}$  is the maximum  
 107 weight of each synapse, so that the ratio of  $A_{\text{pre}} : A_{\text{post}}$  is the same as the ratio  
 108 reported in [15].  $\tau_{s1}$  and  $\tau_{s2}$  are chosen to be 16.8 ms and 33.7 ms, the values  
 109 reported in [15].

*STDP Variant I.* Shortly after the discovery of classical STDP, it was discovered that the STDP learning window might appear with a symmetric depression window [4]. In the symmetric case,  $\tau_{\text{pre}} = \tau_{\text{post}}$ , which produces potentiation of  $w_{i,j}$ , equal in magnitude to depression experienced by  $w_{j,i}$ , assuming both connections exist. Here, we assume the STDP Variant I has the same potentiation window as the classical STDP, while maintaining a symmetric depression window. Equation (6) shows the mathematical expression of STDP Variant I, and Figure 2(b) shows a graphical representation of it. In (6),  $A$  is chosen to be  $0.0096 \cdot w_{\text{max}}$ , just as in the classical STDP introduced above.  $\tau_s$  is chosen to be 16.8 ms.

$$\Delta w = \begin{cases} A \cdot \exp\left(-\frac{t_{\text{post}} - t_{\text{pre}}}{\tau_s}\right), & t_{\text{post}} > t_{\text{pre}} \\ -A \cdot \exp\left(-\frac{t_{\text{pre}} - t_{\text{post}}}{\tau_s}\right), & t_{\text{post}} < t_{\text{pre}} \end{cases} \quad (6)$$

*STDP Variant II.* Figure 2(c) shows a second variant of STDP. This learning window, introduced in [16], aims to fit the STDP data collected in experiments. The potentiation regime extends to  $t_{\text{post}} - t_{\text{pre}} < 0$ , a minor departure from classical STDP [20].

$$\Delta w = \begin{cases} E_N \cdot (A_p e^{-\Delta t / \tau_p} - A_d e^{\eta \Delta t / \tau_p}), & \text{if } \Delta t > 0 \\ E_N \cdot (A_p e^{-\eta \Delta t / \tau_d} - A_d e^{\Delta t / \tau_d}), & \text{if } \Delta t < 0 \end{cases} \quad (7)$$

where  $A_p$  and  $A_d$  are given by:

$$A_p = \gamma [1/\tau_p + \eta/\tau_d]^{-1} \quad (8)$$

$$A_d = \gamma [\eta/\tau_p + 1/\tau_d]^{-1} \quad (9)$$



110 Equation (7) shows a mathematical description of STDP Variant II. A special  
111 property of this learning window is that the integration over  $-\infty$  to  $\infty$  is 0. In our  
112 experiment, the normalization coefficient  $E_N$  is chosen to make the magnitude of  
113 STDP Variant II the same as that of Classical STDP.

*STDP Variant III.* A prototype of this learning window is found in [5], a symmetrical variant of STDP with no LTD. Our third instantiation of STDP uses symmetric depression and potentiation. The time constant for the learning window described in [5] is too large, so we select a compromise larger than that of classical STDP:  $\tau_s$  is chosen to be 33.7 ms, which is equal to the time constant of the depression window of classical STDP. It is about twice the value of the time constant for the potentiation window of classical STDP. The learning window is formulated as in (10) and illustrated in Figure 2(d).

$$\Delta w = A e^{-|\Delta t|/\tau_s} \quad (10)$$

### 114 2.2.3. *Weight Normalization*

115 Indubitably, STDP is a powerful tool. However, this plasticity is a point-to-  
116 point mechanism: dynamics of a synapse are completely determined by the activity  
117 of the two neurons attached it. However, we can avoid this restriction and allow  
118 plasticity to act with a wider scope. For example, we can allow plasticity to be a  
119 function of the activity of larger set of neurons. A simple form of this network-  
120 wide operation is normalization of synaptic weights, which we show allows the  
121 network to achieve globally desirable properties. In this work, we propose three  
122 normalization rules.

*Input Normalization on Sum of the Weights.* Input normalization of synapse strength is discussed in the context of rate-based neural models [12]. However, little work has been done concerning the impact of normalization in spike-time based models. Here, we proposed a spike-time based normalization rule: If the sum of weights input to a post-synaptic neuron exceeds an imposed maximum, all of the synapses to that post-synaptic neuron will be weakened so that their sum remains less than or equal to this bound.

$$\text{If } \sum_i w_{i,j} > w_{\text{inCons}}, \text{ then } w_{i,j} \leftarrow w_{i,j} \frac{w_{\text{inCons}}}{\sum_i w_{i,j}}. \quad (11)$$

*Input Normalization on Sum of the Squared Weights.* Similar to input normalization rule introduced above, the squared sum of weights projected to a neuron is regulated by a chosen maximum,  $w_{\text{inCons}}$ . Here, the weights are normalized as follows.

$$\text{If } \sum_i w_{i,j}^2 > w_{\text{inCons}}, \text{ then } w_{i,j} \leftarrow w_{i,j} \sqrt{\frac{w_{\text{inCons}}}{\sum_i w_{i,j}^2}}. \quad (12)$$

*Output Normalization on Sum of the Weights.*

$$\Delta w_{i,j} = A_{\text{pre}} \cdot \exp\left(\frac{t_i - t_j}{\tau_s}\right) \quad (13)$$

$$\Delta w_{i,k} = -|w_{i,k}| \cdot \frac{\Delta w_{i,j}}{w_{\text{cons}} - w_{i,j}} \quad (14)$$

123 Under output normalization defined by (13), when  $w_{i,j}$  is strengthened, the con-  
 124 nections from neuron  $i$  to other neurons  $k \neq j$  are weakened. We implement this  
 125 competitiveness via normalization of output synapses to the summed strengths of  
 126 outputs of each input neuron at each synaptic update operation. To accomplish

127 this, we impose a constraint,  $w_{\text{cons}}$ , which bounds from above the strength of  
128 connections departing a neuron. Traditionally (e.g. as discussed in [12]) synaptic  
129 competition is considered as implemented by normalization of weights. This com-  
130 petitive spike time based learning differs from examples discussed in [12, 21] in  
131 that their approaches implement competition as normalization of synaptic strengths  
132 to the summed strengths of common inputs (i.e., they consider competition among  
133 synapses projecting to a common post-synaptic neuron) while we consider com-  
134 petition among synapses originating from a common pre-synaptic neuron. Our  
135 approach follows from an intuitionistic argument: A neuron projecting synapses  
136 are burdened by physics with a strict upper bound on the energy it may expend on  
137 communicating a spike to its post-synaptic neighbors. Additionally, physics limits  
138 a neuron’s neurotransmitter<sup>1</sup> budget. It follows that if the neuron is driven to invest  
139 more energy in a particular channel, it must divest of others.

140 Our competitive learning rule has three important features. Firstly, it imposes an  
141 upper bound on the sum of efficacies of synapses departing a neuron. Secondly, this  
142 learning rule allows this sum to increase slowly and more stably. Finally, the learn-  
143 ing rule ramps up competitiveness (i.e., increases the impact of this normalization) as  
144 strength approaches a hypothetical maximum,  $w_{\text{cons}}$ .

We first analyze the situation in which all synapses have a non-negative strength.  
In this case, we can remove the absolute value symbol from  $w_{i,k}$  in (13). Then,  
we obtain (15), where we assume that neuron  $i$  emits a spike shortly before  $j$ . In  
response, synapse  $w_{i,j}$  is strengthened, and all other synapses  $w_{i,k}$  are weakened.

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<sup>1</sup>Neurotransmitters are molecules released at a synapse, and communicated to dendrites of post-synaptic neurons via diffusion across a gap [22].

We know that

$$\sum_{k=1, k \neq j}^K \Delta w_{i,k} = \sum_{k=1, k \neq j}^K \left( -\frac{w_{i,k} \Delta w_{i,j}}{w_{\text{cons}} - w_{i,j}} \right), \quad (15)$$

where  $K$  is the number of synapses projected by the neuron in question. We divide the analysis into two cases. First, if the sum of outgoing synaptic efficacies,  $\Psi = w_{i,j} + \sum_{k=1, k \neq j}^K w_{i,k}$  hits  $w_{\text{cons}}$ , then we have

$$w_{\text{cons}} = w_{i,j} + \sum_{k=1, k \neq j}^K w_{i,k}. \quad (16)$$

Combining (15) and (16) we have:

$$\sum_{k=1, k \neq j}^K \Delta w_{i,k} = \sum_{k=1, k \neq j}^K \left( -\frac{w_{i,k} \Delta w_{i,j}}{\sum_{k=1, k \neq j}^K w_{i,k}} \right) = -\Delta w_{i,j} \quad (17)$$

145 Equation (17) shows that when  $\Psi$  reaches  $w_{\text{cons}}$ , the increase in  $w_{i,j}$  is equal to  
 146 the sum of decreases in  $w_{i,k}$  over  $k \neq j$ , due to competition among synapses. This  
 147 should drive the network towards equilibrium and prevents epileptic destabilization  
 148 that results from run-away potentiation.

If  $\Psi$  remains much smaller than  $w_{\text{cons}}$ , then

$$w_{\text{cons}} = B + w_{i,j} + \sum_{k=1, k \neq j}^K w_{i,k}, \quad (18)$$

where  $B$  defines competitiveness equal to the difference between  $w_{\text{cons}}$  and the quantity of synaptic efficacy already invested after the potentiation induced by the most

recent pair of spikes. When  $\frac{1}{B}$  is small, ample synaptic efficacy is still available for synapses to be strengthened. Thus when one synapse is strengthened, other synapses will only be weakened mildly (a low-competitvity situation). On the other hand, if  $\frac{1}{B}$  is large, there is little efficacy available for the synapse in question to be strengthened, thus there is greater competitiveness. Combining (18) and (15), we have

$$\sum_{k=1, k \neq j}^K \Delta w_{i,k} = \sum_{k=1, k \neq j}^K \left( -\frac{w_{i,k} \Delta w_{i,j}}{B + \sum_{k=1, k \neq j}^K w_{i,k}} \right) = -\Delta w_{i,j} \left( \frac{\sum_{k=1, k \neq j}^K w_{i,k}}{B + \sum_{k=1, k \neq j}^K w_{i,k}} \right) \quad (19)$$

149 As before, when  $B$  is relatively large, the decrease,  $\Delta w_{i,k}$  is small. Conversely,  
 150 when  $\Psi$  is sufficiently close to  $w_{\text{cons}}$ ,  $B$  is nearly zero. Equation 19 also shows that  
 151 in this case, total synaptic depression (i.e. depression summed over all outgoing  
 152 synapses,  $w_{i,k}$  where  $k \neq i$ ) is equal to potentiation,  $w_{i,j}$ . If a negative weight is  
 153 allowed then we place an absolute value operation on  $w_{i,k}$ , to obtain (13). Thus  
 154  $w_{i,k}$  always decreases when  $w_{i,j}$  increases.

#### 155 2.2.4. Reward STDP

156 The weight change of reward STDP is not only affected by the relative spiking  
 157 time of pre- and post-synaptic neurons but also modulated by the reward signal.  
 158 The reward is given by the output of classifier and the target (the label of each  
 159 image). If a classifier's output matches the target, then the reward given to network  
 160 is a normal application of the STDP learning rule. However, if a classifier's output  
 161 differs from the target, then a punishment is supplied. In our model, we apply this  
 162 rule whenever a post-synaptic spike occurs. A more detailed treatment of rewarded

163 STDP is discussed in section 2.3.

#### 164 2.2.5. *STDP Trace*

The modification of a single synapse caused by STDP is expressed using (20), where pre means all pre-synaptic spikes, post means all post-synaptic spikes and  $K$  is the STDP learning window. (20) shows that total modification caused by STDP is the summation of modifications over all combinations of pre-synaptic spikes and post-synaptic spikes.

$$\Delta W = \sum_{t_{\text{pre}}} \sum_{t_{\text{post}}} K(t_{\text{pre}} - t_{\text{post}}) \quad (20)$$

In practice, recording and processing of all previous spikes is computationally expensive. Therefore, we use an alternative representation of this relationship. Since, in our classifier, each pre-synaptic neuron is allowed to emit only one spike during the simulation of each image, then (20) can be simplified as (21).

$$\Delta W = \sum_{t_{\text{post}}} K(t_{\text{pre}} - t_{\text{post}}) \quad (21)$$

When  $t_{\text{post}}$  is larger than  $t_{\text{pre}}$ , this equation does not need reformulation because there is only one pre-synaptic spike. However, when every  $t_{\text{post}}$  is less than  $t_{\text{pre}}$ , (21) implies that all post-synaptic spikes that arrive before the pre-synaptic spike will contribute to depression of the synapse. If we assume the depression window of STDP is an exponentially-decaying function and that  $t_{\text{post}} > t_{\text{pre}}$  then (21) can

be reformulated as (22).

$$\Delta W = \sum_{t_{\text{post}}} A \cdot \exp\left(-\frac{t_{\text{pre}} - t_{\text{post}}}{\tau}\right) \quad (22)$$

$$= A_j \cdot \exp\left(-\frac{t_{\text{pre}} - t_j}{\tau}\right) \quad (23)$$

where  $t_{\text{pre}}$  is the time of pre-synaptic spike,  $t_j$  is the time of the  $j^{\text{th}}$  post-synaptic spike and  $A$  is the amplitude of the STDP depression learning window. Consider  $j$  as the latest post-synaptic spike, and  $j - 1$  as the post-synaptic spike arriving earlier than  $j$ .  $A_j$  is the "trace" of STDP, defined with the following recursive formula:

$$A_j = \begin{cases} A, & \text{if } j = 1 \\ A_{j-1} \cdot \exp\left(-\frac{t_j - t_{j-1}}{\tau}\right) + A, & \text{if } j > 1. \end{cases} \quad (24)$$

165 The expression in (24) accounts for all of the previous post-synaptic spikes,  
 166 which allows us to compute  $A_j$  in an efficient way because we only need to store the  
 167 value of  $A_{j-1}$  to make the next calculation. We exploit this in our implementations  
 168 of classical STDP, STDP Variant I and STDP Variant III, because it is assumed that  
 169 the depression window of STDP decays exponentially with increases in inter-spike  
 170 interval [23]. However, we omit this assumption for STDP Variant II by ignoring  
 171 the impact of previous post-synaptic spikes except the latest one.

### 172 2.3. Spiking Classifiers

173 We implemented a network of leaky integrate and fire (LIF) neurons with  
 174 plastic synapses with the dynamics described in Section 2.1 to construct a 4-layer  
 175 feedforward SNN. The image preprocessing protocols and network properties are  
 176 adapted from [9]. As compared to [9], where the network has an additional hidden

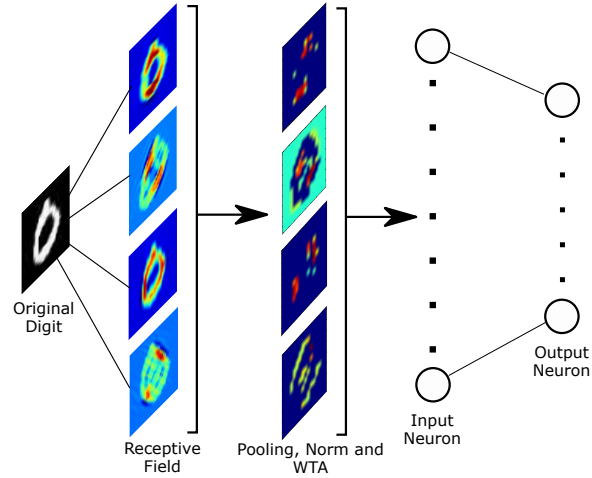


Figure 3: Structure of our SNN Classifier

177 layer between the input neurons and output neurons, we considered input neurons  
 178 directly mapping to output neurons in our network. The structure of our proposed  
 179 network is illustrated in Figure 3.

180 We now describe preprocessing of the data. The images are fed into a receptive  
 181 field layer of four orientations, Gabor filters of 0, 45, 90, and 135 degrees. After  
 182 this operation, the original image, of size  $28 \times 28$  pixels, is projected to a higher  
 183 dimensional space of  $32 \times 32$  pixels by four orientations. The output of this first  
 184 layer processing is then sent through a layer of max-pooling and winner-takes-all  
 185 circuitry (WTA). The max-pooling and WTA operations impose a dimensionality  
 186 reduction on the input in order to remove noise and speed up the simulation. After  
 187 max-pooling, the image is  $16 \times 16$  pixels by four orientations; then the image is  
 188 converted to a sequence of spikes. Each pixel is converted to a single spike of a  
 189 corresponding neuron in the input layer. That is, during the simulation of every  
 190 single image, each input neuron may only spike once. The latency of each spike



191 is determined by the intensity of the corresponding pixel. We evaluated three  
192 conversion rules, each with a different latency scale for the mapping of image  
193 to spike pattern. Spikes are generated within a duration of either 10 ms, 17 ms  
194 or 34 ms, chosen deliberately in that 10 ms is about half of the time constant  
195 of classical STDP, 17 ms is the time constant of classical STDP, and 34 ms is  
196 twice of the time constant of classical STDP. Finally, spikes are propagated from  
197 the input neurons to the output layer. The number of neurons in the output layer  
198 equals the number of classes of the classification problem. The output layer is  
199 trained using supervised reinforcement learning with a teaching signal realized at  
200 the epilogue of each simulation (1 ms before the end of simulation). Weights are  
201 updated whenever a spike occurs. If a spiking output neuron is the target neuron,  
202 a reward is supplied to the network, and punishment is given otherwise. After  
203 weights adjust, normalization rules are applied to weights. Every individual weight  
204 is clipped to a range from  $-0.3w_{\max}$  to  $w_{\max}$ , where  $w_{\max}$  is the upper bound of  
205 the strength of every individual synapse. More detailed description of the whole  
206 process is presented in Section 2.3.1 to Section 2.3.3.

### 207 *2.3.1. Encoding*

208 Any natural (i.e., biologically implemented) spiking neural classifier - espe-  
209 cially those receptive to visual information - should take advantage of the efficient  
210 coding employed by the mammalian brain. For example, humans typically have  
211  $\approx 4.6$  million cone cells and  $\approx 92$  million rod cells, for a total of  $\approx 96.6$  million  
212 photoreceptors in each eye [24]. The output of the human eye typically has be-  
213 tween 0.71 and 1.54 million retinal ganglion cells though this is highly variable  
214 across eyes surveyed [25]. This observation means there is an encoding process  
215 that reduces the dimensionality of the visual data by between 8 and 9 orders of

216 magnitude before any neurons located in the brain perceive the visual signal. Visual  
 217 information flows from retinal ganglion cells to V1, the mammalian primary visual  
 218 cortex. V1 preprocesses the visual information for higher layers of processing by  
 219 performing edge detection (and probably other computations) [26, 27].

We emulate this natural visual structure. As shown in Figure 3, the input image is passed through a receptive field layer, achieved by implementing Gabor filters of four orientations. (25) is a mathematical description of the Gabor filters we use.

$$g(x, y; \lambda, \theta, \psi, \sigma, \gamma) = \exp\left(-\frac{x'^2 + \gamma^2 y'^2}{2\sigma^2}\right) \cos\left(2\pi\frac{x'}{\lambda} + \psi\right) \quad (25)$$

220 Here,  $x$  and  $y$  are coordinates of pixels and  $\theta$  is the orientation applied. In this step,  
 221 the original picture is projected to a higher dimension, and the edges of the four  
 222 orientations are extracted. These receptive fields, in essence, project the images to  
 223 a higher dimensional space, producing a more separable (and thus more tractable)  
 224 classification problem in a manner similar to kernel tricks commonly used to  
 225 preprocess data for classification with support vector machines [28]. Furthermore,  
 226 this edge detection process emulates the functionality of the receptive fields in  
 227 human V1.

228 The second class of encoding methods considered consists of sequential max-  
 229 pooling and winner-take-all. In this procedure, the input is passed through a  $2 \times 2$   
 230 max-pooling operation with stride 2. Subsequently, a winner-takes-all (WTA)  
 231 rule is applied to the max-pooled image. Algorithm 1 shows the flow of these  
 232 operations. As stated above, orientations are of four values, 0, 45, 90, and 135  
 233 degrees. The "max orientation" function at line 1 in Algorithm 1 returns the orien-  
 234 tation corresponding to the largest value of the pixel at position  $(x, y)$ . The pixel  
 235 value at  $(x, y)$  in orientation  $j$  is left unchanged and  $p(x, y)$  at other orientations

236 are set to 0. Consider a pixel with coordinates  $(x_0, y_0)$  in orientation  $i$ , denoted  
 237 by  $p_i(x_0, y_0)$ . If pixel  $p_1(x_0, y_0)$  has the largest intensity, then the value of pixel  
 238  $p_2(x_0, y_0)$ ,  $p_3(x_0, y_0)$ ,  $p_4(x_0, y_0)$  will be set to 0, while  $p_1(x_0, y_0)$  maintains the  
 239 original value. After max-pooling and WTA, the dimension of input is reduced  
 240 from 4096 to 1024, a procedure that also emulates the dimensionality reduction in  
 241 the human visual cortex. In addition, this operation significantly reduces required  
 learning time.

---

**Algorithm 1** WTA Encoding

---

**Input:** Orientation  $i$ ; Pixel Position  $x, y$ ; Pixel value  $p_i(x, y)$

**Output:** Updated pixel value,  $p'_i(x, y)$

- 1:  $j = \max \text{orientation}(p_i(x, y))$
  - 2: **for**  $i$  in *orientations* **do**
  - 3:     **if**  $i \neq j$  **then**
  - 4:          $p_i(x, y) = 0$
  - 5:     **end if**
  - 6: **end for**
- 

242

The final stage of encoding converts preprocessed pixel intensity to spike time. Reference [9] proposed that the latency of encoded spike should be inversely proportional to its intensity; however, their work did not produce a convenient mathematical expression. We propose to follow this idea about the proportionality, but provide such an expression. Our proposed encoding scheme, which transforms pixels values into latencies, is given by

$$t_{x,y} = \frac{(D-3)}{I_{x,y}} - D + 4, \text{ if } I_{x,y} > 0.5 \quad (26)$$

243 where  $I_{x,y}$  is the intensity of pixel at position  $(x, y)$ , taking a value between 0 and  
 244 1, and  $D$  is the simulation duration. Under this rule, pixels with intensities below  
 245 0.5 are discarded and pixels with larger intensities are converted to spikes with

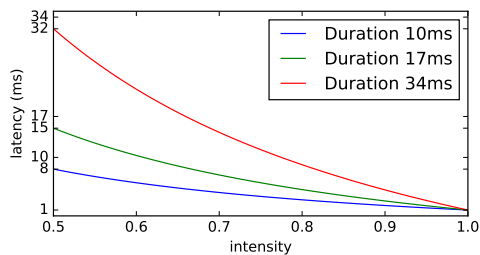


Figure 4: Encoding Duration and Intensity

246 smaller latencies. All spikes are mapped to a latency between 1 ms and  $D - 2$  ms,  
 247 1 ms before the teaching signal is presented. To study the interactions between  
 248 the STDP learning window and simulation duration, we consider three encoding  
 249 durations: 10 ms, 17 ms and 34 ms.

### 250 2.3.2. Decoding

251 We evaluated two decoding schemes, first-spike decoding and count-decoding  
 252 [9]. We choose the number of output neurons to be the same as the number of  
 253 classes in the classification problem. First-spike decoding selects the class with  
 254 the output neuron that is the first to emit a spike and count-decoding examines the  
 255 number of spikes at each output neuron and returns the label of the neuron with the  
 256 most spikes.

### 257 2.3.3. Learning Process and Weight Update

258 The network utilizes the proposed weight normalization approach paired with  
 259 a rewarded STDP mechanism, a supervised learning algorithm that is used in [9]  
 260 (see Algorithm 2). This algorithm takes in  $X_{\text{train}}$ , a list of images, and  $Y_{\text{train}}$ , a  
 261 list of targets (i.e. the label of each training image). A single image from the list  
 262 is converted to spikes,  $X$ , then fed into the network by inducing spikes in input  
 263 neurons according to the encoded image. At time step  $t$  denote these spikes by  $X_t$ .

264 The spikes emitted by the SNN classifier,  $S_t$ , are then determined by simulating  
265 the propagation of  $X_t$  through the network (see line 6). At the epilogue of the  
266 previous step, a teaching signal is provided to the network: the induction of a single  
267 post-synaptic spike on the target neuron. Then,  $S_t$  is compared to  $Y$  to determine  
268 reward or punishment and STDP rules are applied to the network to update the  
269 synaptic weights (see lines 9 and 12, respectively). After the STDP update, we  
270 apply our proposed weight normalization rule to the network. There are two types  
271 of normalization rules considered in our simulations: (1) normalization of each  
272 individual synapse; and (2) normalization of a cluster of synapses. Normalization  
273 for an individual synapse is implemented by constraining strength to the range  
274  $[-0.3 \cdot w_{\max}, w_{\max}]$ . Cluster normalization is implemented by the rules in Section  
275 2.2.3 (see line 14 in algorithm 2).

276 The training process for the first-spike decoding SNN classifier follows a similar  
277 approach to that of the count decoding classifier (see Algorithm 3). However in  
278 the first-spike decoding scheme, decoding is complete when the first post-synaptic  
279 spike occurs. This is detected by the spike flag,  $F_s$ , which is set to 1 when a spike  
280 in the output layer occurs.

### 281 **3. Experimental Results**

#### 282 *3.1. Performance Testing Methods and Data*

283 We present an empirical analysis of the impacts of varying encoding/decoding  
284 schemes and normalization of the synaptic weights on SNN classification perfor-  
285 mance. We benchmarked the performance of our SNN classifiers on three datasets:  
286 MNIST [29], NIST [30], and ETH80-Contour [31]. MNIST contains 70,000  
287 hand-written digits (60,000 training and 10,000 testing samples) belonging to 10

---

**Algorithm 2** Learning Process in Training Session (Count Decoding)

---

**Input:** A list of images,  $X_{\text{train}}$ ; A list of targets,  $Y_{\text{train}}$ , A Network  $N$

**Output:** A trained network,  $N_c$

```
1: for each image,  $X \in X_{\text{train}}, Y \in Y_{\text{train}}$  do
2:   for  $t$  in  $T_s$  do
3:     if  $t = D - 1$  then
4:        $N \leftarrow \text{Teach}(N, Y)$ 
5:     end if
6:      $S_t = \text{Update}(N, X_t)$ 
7:     if  $S_t \neq Y_t$  then
8:        $\text{reward} = -1$ 
9:        $N \leftarrow \text{STDP}(N, \text{reward})$ 
10:    else if  $S_t = Y_t$  then
11:       $\text{reward} = 1$ 
12:       $N \leftarrow \text{STDP}(N, \text{reward})$ 
13:    end if
14:     $N \leftarrow \text{Norm}(N)$ 
15:  end for
16:   $N \leftarrow \text{Reset}(N)$ 
17: end for
18:  $N_c \leftarrow N$ 
19: Return  $N_c$ 
```

---

---

**Algorithm 3** Learning Process in Training Session (First-Spike Decoding)

---

**Input:** A list of images,  $X_{\text{train}}$ ; A list of targets,  $Y_{\text{train}}$ , A Network  $N$

**Output:** A trained network,  $N_c$

```
1: for each image,  $X \in X_{\text{train}}, Y \in Y_{\text{train}}$  do
2:    $F_s = 0$ 
3:   while  $t$  in  $T_s$  and  $F_s == 0$  do
4:     if  $t = D - 1$  then
5:        $N \leftarrow \text{Teach}(N, Y)$ 
6:     end if
7:      $S_t = \text{Update}(N, X_t)$ 
8:     if  $S_t \neq Y$  then
9:        $\text{reward} = -1$ 
10:       $N \leftarrow \text{STDP}(N, \text{reward})$ 
11:       $F_s = 1$ 
12:     else if  $S_t = Y$  then
13:        $\text{reward} = 1$ 
14:        $N \leftarrow \text{STDP}(N, \text{reward})$ 
15:        $F_s = 1$ 
16:     end if
17:      $N \leftarrow \text{Norm}(N)$ 
18:   end while
19:    $N \leftarrow \text{Reset}(N)$ 
20: end for
21:  $N_c \leftarrow N$ 
22: return  $N_c$ 
```

---

288 classes. In our experiment we randomly pick 5000 training samples and 900 testing  
289 samples from each class of the MNIST dataset. NIST is a hand-written character  
290 and digit dataset. We choose 10 classes from the original NIST dataset to evaluate  
291 the performance of our proposed normalization scheme. ETH80-Contour contains  
292 eight classes of objects that include fruits, animals and cars, and this dataset is  
293 often used for 3-D image reconstruction. We build an individual classifier for  
294 each dataset. On that particular dataset, the classifier is trained consecutively on  
295 each batch, then the classifier is evaluated on testing samples of the corresponding  
296 dataset and the accuracy is reported. The samples within each dataset are shuffled  
297 before encoding and simulation. The same training protocols are employed on  
298 MNIST, NIST as well as ETH80-Contour, except that the batch size, number of  
299 batches and testing size are necessarily different.

### 300 *3.2. No Normalization Experiment*

301 In this section, we conducted a series of experiments without the use of normal-  
302 ization rules. We studied the influence of STDP learning window and encoding/de-  
303 coding on the performance of the proposed SNN classifier. The STDP learning  
304 windows implemented are formulated in Section 2.2.2. Simulation durations are  
305 chosen to be 10 ms, 17 ms, and 34 ms. Under each simulation duration, the latency  
306 of each input spike is scaled to range from 1 ms to  $(D - 2)$  ms, as stated in Section  
307 2.3.1.

#### 308 *3.2.1. Accuracy Comparison w.r.t. STDP Kernel and Decoding Scheme*

309 To compare the performance of classifiers under count decoding and first-spike  
310 decoding schemes, we plot the mean accuracy and error bar for each STDP learning  
311 window on each dataset under different decoding schemes in Figure 5. The x-axis



312 of Figure 5 lists the datasets combined with the decoding scheme used (C denotes  
 313 count decoding and F denotes first-spike decoding). Different STDP learning  
 314 windows are tested, where STDP-C denotes the classical learning window, and  
 315 STDP-I means STDP variant I. Each single bar in Figure 5 is computed as  $p_m^{d,s} =$   
 316  $(\sum_{j=1}^{N_E} \sum_{i=1}^{N_B} p_{i,j}^{d,s}) / (N_E \cdot N_B)$ , where  $p_m^{d,s}$  is the mean accuracy using STDP learning  
 317 window  $s$  on dataset  $d$ ,  $N_E$  is the number of choices of encoding durations,  $N_B$  is the  
 318 number of batches under each encoding duration, and  $p_{i,j}^{d,s}$  is the testing accuracy of  
 319 batch  $i$  under encoding option  $j$  using STDP  $s$  on dataset  $d$ . For example, for STDP-  
 320 I on MNIST tasks, we have 50 batches, and the encoding durations are 10 ms, 17  
 321 ms and 34 ms, so that  $d = \text{MNIST}$ ,  $s = \text{STDP-I}$ ,  $N_B = 50$  and  $N_E = 3$ . In addition,  
 322 we also compute the standard deviation and plot the error bar in the figure. Standard  
 323 deviation is given by:  $s^{d,s} = \sqrt{[\sum_{j=1}^{N_E} \sum_{i=1}^{N_B} (p_{i,j}^{d,s} - p_m^{d,s})^2] / (N_B \cdot N_E)}$ , and the error bar  
 324 corresponding to 95% confidence intervals is computed as  $err = \pm 1.96 \frac{s^{d,s}}{(N_B \cdot N_E)^{0.5}}$ .  
 325 Results in Figure 5 show that under count decoding scheme, classical STDP  
 326 performs significantly better than other STDP variants on MNIST dataset, and  
 327 it performs significantly better than STDP-II & III on NIST dataset. However,  
 328 this phenomenon does not appear on ETH-80 Contour dataset. As for first-spike  
 329 decoding, since decoding stops as soon as the first post-synaptic spike is emitted,  
 330 the depression window of STDP never takes effect. Thus only the shape of the  
 331 potentiation window affects classification performance. Since STDP-C and STDP-I  
 332 have the same potentiation window, their performances are identical under first-  
 333 spike decoding scheme. The shape of potentiation window of STDP-III is very  
 334 similar to that of STDP-C and STDP-I, except that the time constant of potentiation  
 335 window of STDP-III is about twice as that of the other two, resulting in a slight  
 336 performance difference from that of STDP-C and STDP-I. STDP-II performs

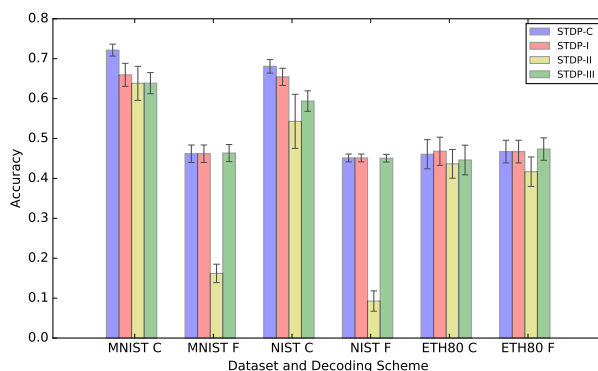


Figure 5: Average Accuracy of STDP without Normalization

337 poorly on MNIST and NIST dataset under the first-decoding scheme. Figure 5 also  
 338 shows that on MNIST and NIST datasets, count decoding performs significantly  
 339 better than first-spike decoding.

### 340 3.2.2. Comparison of Simulated Time

341 Testing accuracy of the classifier using count decoding is significantly better  
 342 than that of first-spike decoding, an improvement achieved at the expense of  
 343 decoding time. Figure 6 shows the simulated time per image under count decoding  
 344 scheme and first-spike decoding scheme. Simulated time is not the execution time  
 345 of the program. Instead, it refers to the duration of simulated neural activity of our  
 346 classifier. For example, if we use 34 ms encoding duration scheme, the simulated  
 347 neural activity for classifying each image is from 0 ms to 33.8 ms. Currently, we  
 348 use a time step of 0.2 ms, so that there are 170 steps to simulate. After 170 steps,  
 349 the classifier’s state is reset to initial state (except the weight), and next simulation  
 350 will start. The top three panels of Figure 6 show the simulated time per image for  
 351 classifiers using different encoding durations. The simulated time for both training

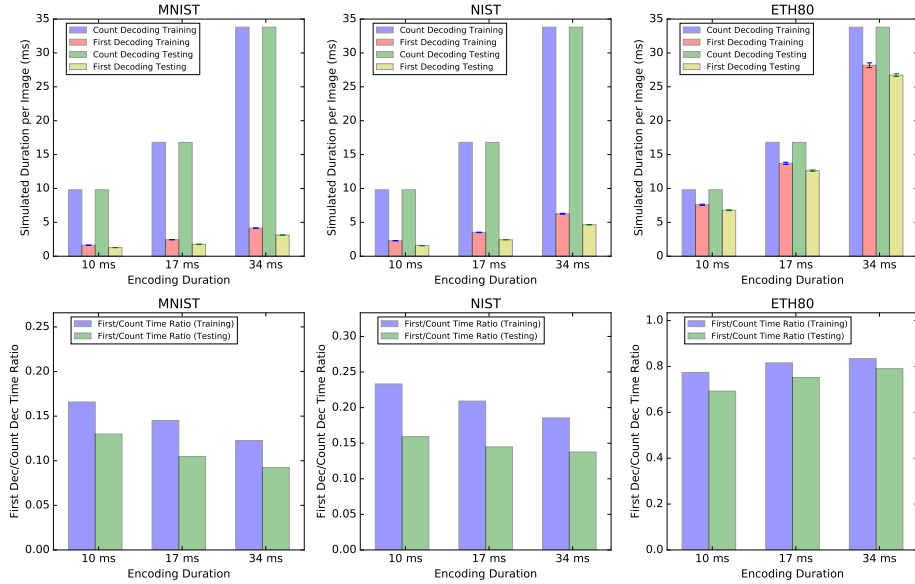


Figure 6: Simulation Time using Count Decoding and First Decoding Scheme

352 session and testing sessions are recorded. Under count decoding, the simulated  
 353 time for processing each image is constant. If we use  $D$  to denote the encoding  
 354 duration, then the simulated time for processing each image is  $D - 0.2$  ms. These  
 355 results show that on MNIST and NIST datasets, the simulated time for both training  
 356 and testing sessions under first-spike decoding is significantly less than that using  
 357 count-decoding. On ETH80 dataset, this phenomenon is not as apparent as on the  
 358 other two datasets. The bottom three panels of Figure 6 show the ratio of simulated  
 359 time per image using first-spike decoding and count decoding (Assume the time  
 360 using first-spike decoding is  $T_F$ , and the time using count decoding is  $T_C$ , then the  
 361 ratio is calculated as  $R = T_F/T_C$ ). On MNIST and NIST, the ratio is less than 25%.  
 362 As the encoding duration increases from 10 to 34 ms, the ratio decreases. Notably,  
 363 this ratio is even lower in testing session than in training session. Figure 5 and

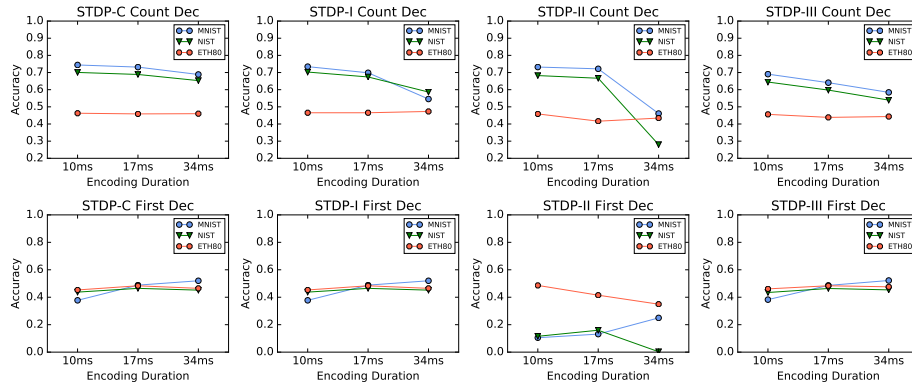


Figure 7: The Effect of Encoding Duration on Classification Accuracy

364 Figure 6 together show that although using the first-spike decoding may produce a  
 365 decrease in classification accuracy, the reduction in simulated time is significant.  
 366 The neural classifier using the first-spike decoding scheme needs much less time to  
 367 train and test.

### 368 3.2.3. Accuracy Comparison w.r.t. Encoding Duration

369 Figure 7 shows the testing accuracy of the classifier with different encoding  
 370 schemes. The four sub-figures in the first row of Figure 7 show the performance  
 371 of four STDP learning windows under the count decoding scheme. The bottom  
 372 four figures show the performance of STDP learning windows under first-spike  
 373 decoding. Each point in the figure denotes the average testing accuracy under  
 374 particular encoding duration and decoding scheme. Under count-decoding scheme  
 375 on MNIST and NIST dataset, the accuracy drops as the encoding duration increases  
 376 from 10 ms to 34 ms. This trend is particularly obvious for STDP-II. The accuracy  
 377 drops to as low as 30% using an encoding duration of 34 ms. Under the first-spike  
 378 decoding scheme, there is not such a consistent trend across all STDP learning  
 379 windows. Since STDP-C, STDP-I, and STDP-III have very similar potentiation

380 windows, it is not surprising that they have very similar performance. For these  
381 three learning windows, the increase in encoding duration will lead to an increase  
382 in classification accuracy on MNIST dataset. However, this is not the case for NIST  
383 and ETH80 dataset. There is a peak of classification accuracy which emerges when  
384 the encoding duration is 17 ms. For STDP-II, the trend is a little different. Again,  
385 classification accuracy on MNIST dataset increases as the encoding duration  
386 increases, and there is a peak on NIST dataset. However, there is no peak in  
387 classification accuracy for ETH80 task. Instead, classification accuracy only  
388 decreases as the encoding duration increases.

### 389 *3.3. Normalization Experiment*

390 In this section, we evaluate the performance of the proposed normalization  
391 rules. For these experiments, the encoding duration of the SNN classifier is fixed  
392 to 10 ms, and there are several reasons for this choice. The experiments using  
393 count-decoding scheme in Section 3.2 demonstrated that 10 ms encoding typically  
394 provides the best accuracies compared to the other encoding durations. Second,  
395 the influence of encoding duration on the classifier’s performance under first-spike  
396 decoding scheme is not obvious, but to compare the performance of classifier  
397 under different decoding schemes we need to set the encoding duration of all SNN  
398 classifiers to be the same.

#### 399 *3.3.1. Performance Enhancement using Output Normalization*

400 Figure 8 shows the performance of classical STDP combined with different nor-  
401 malization rules as introduced in Section 2. For ETH80 dataset, normalization rules  
402 do not make significant difference either using count decoding scheme or using  
403 first-spike decoding scheme. Input normalization improves performance in most

404 cases, but a larger improvement of accuracy is achieved with output normalization.  
405 Under count decoding, the output normalization rule undermines the classification  
406 accuracy. Whereas under the first-spike decoding, the output normalization rule can  
407 significantly enhance the performance. When no normalization rule is applied, the  
408 average classification accuracy of classical STDP under count decoding on MNIST  
409 and NIST reaches  $\approx 70\%$ , while the accuracy of classical STDP under first-spike  
410 decoding on these two datasets are only around 40%. However, if our output  
411 normalization rule is applied, the classification accuracy reaches around 60%, just  
412 10% shy of that achieved with count decoding. Meanwhile, first-spike decoding  
413 outperforms count decoding in required simulation time. The right bottom panel  
414 of Figure 8 shows the comparison of time consumption. On MNIST dataset, the  
415 classifier with first-spike decoding scheme consumes only about 15% of the time  
416 consumed under count decoding. On NIST dataset, first-spike decoding classifier  
417 takes about 20% of the time required by count decoding. Although the first-spike  
418 decoding scheme with normalization takes slightly more time than merely using  
419 first-spike decoding without normalization, it maintains a small required simulation  
420 time.

### 421 3.3.2. *Early Exit*

422 To investigate how output normalization boosts the performance of our pro-  
423 posed classifier, we record and plot the training and testing accuracy of each batch  
424 on all three datasets with and without output normalization. Figure 9 shows a  
425 plot of training and testing accuracy for each configuration. Training accuracy  
426 is obtained by testing the classifier using all samples in current training batch.  
427 The three figures in the first row show the performance of classical STDP/STDP  
428 Variant I on the three datasets. Since classical STDP and STDP Variant I perform

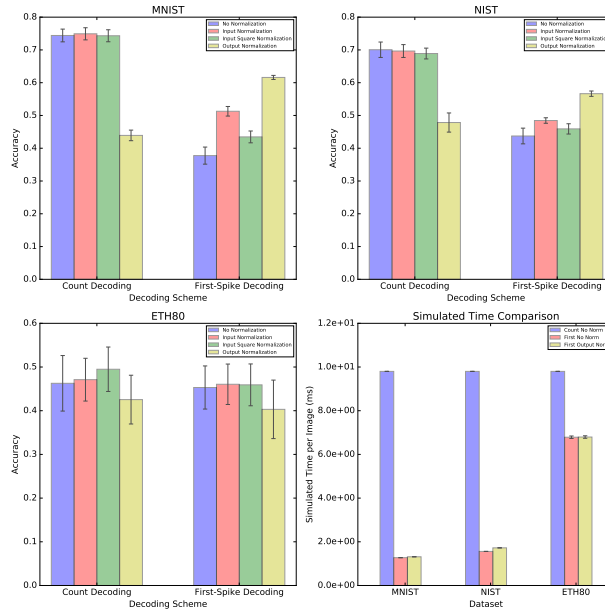


Figure 8: Performance of STDP with Normalization Rules

429 identically under the first-spike decoding scheme, we merged their figures into  
 430 one. On MNIST dataset and NIST dataset, no-normalization classifier performs  
 431 well in the first few batches, which means it could reach an accuracy very close  
 432 to that using output normalization rule. However, after the first few batches, both  
 433 training and testing accuracy of no-normalization classifier decreases until the  
 434 end of training session. The same phenomenon appears in the experiments with  
 435 STDP Variant III. This shows that although first-spike decoding classifier without  
 436 normalization can successfully extract some image features at the beginning of  
 437 training session, it fails to maintain what it learns. For STDP Variant II, this trend  
 438 shows itself only subtly on NIST dataset but is absent on the MNIST dataset. In  
 439 fact, this trend does not appear for any STDP learning windows on the ETH80  
 440 dataset, regardless of normalization rule (or lack thereof).

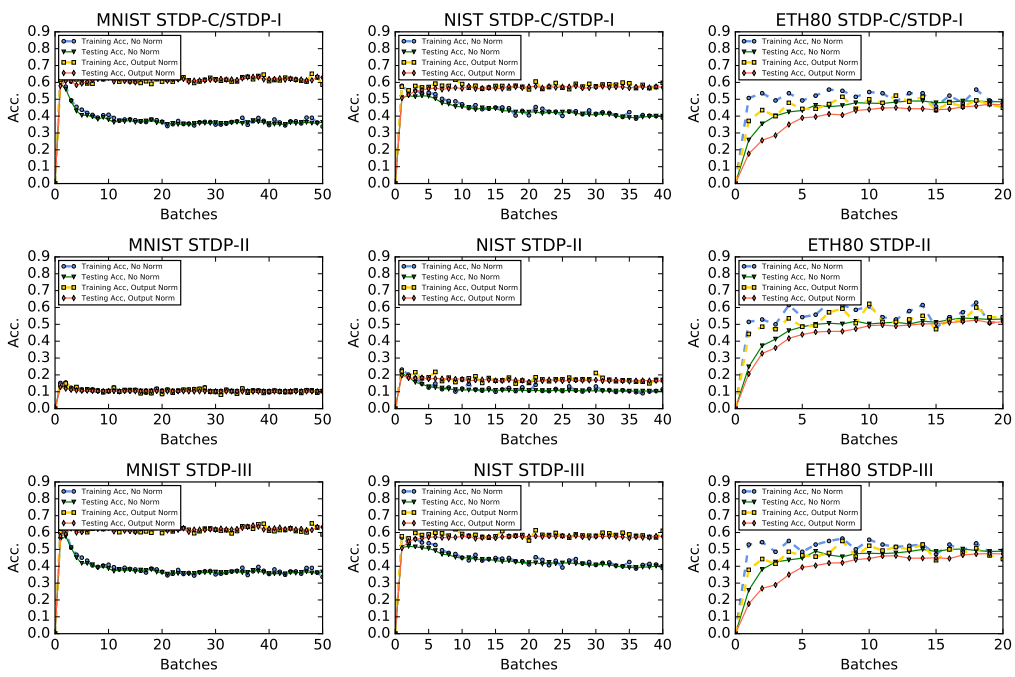


Figure 9: Training and Testing Accuracy



To further investigate how an output normalization rule can prevent decreases in accuracy in later batches of the training process, we plot the time consumption of classical STDP with and without normalization rule in each training batch. Figure 10 shows time consumption as a function of the batch number. On MNIST dataset, the training time of the first batch is about 2.6 s for both the no-normalization classifier and output-normalization classifier. Since there are 1000 training images in each batch in MNIST dataset, we infer that each image takes about 2.6 ms to train. As the training process continues, the training time for both no-normalization classifier and output-normalization classifier decreases. At the end of the training process, each batch takes about 1.5 s for the no-normalization classifier and 1.8 s for the output-normalization classifier to train. Similar trends appear on the NIST dataset. Both training and testing time for output-normalization classifier are larger than those of no-normalization classifier. Combined with the results from previous experiments on MNIST and NIST, we have the following observations. Recall that in previous experiments, we showed that output-normalized classifier under first-spike decoding scheme performs better than a no-normalization classifier on MNIST and NIST dataset, especially in the later phase of the training process. Meanwhile, a no-normalization classifier consumes less time than a comparable output-normalized classifier, especially in the later phase of the training process. This means that although no-normalization classifiers make their judgments earlier, this selection is more likely to include error. In contrast, output-normalized classifier tends to make its judgment at a later stage of the simulation for each image boosting the performance of the classifier with this delay of judgment. This hypothesis also fits our results when we consider the decision process of the classifiers. Under first-spike decoding scheme, the classification result is given by

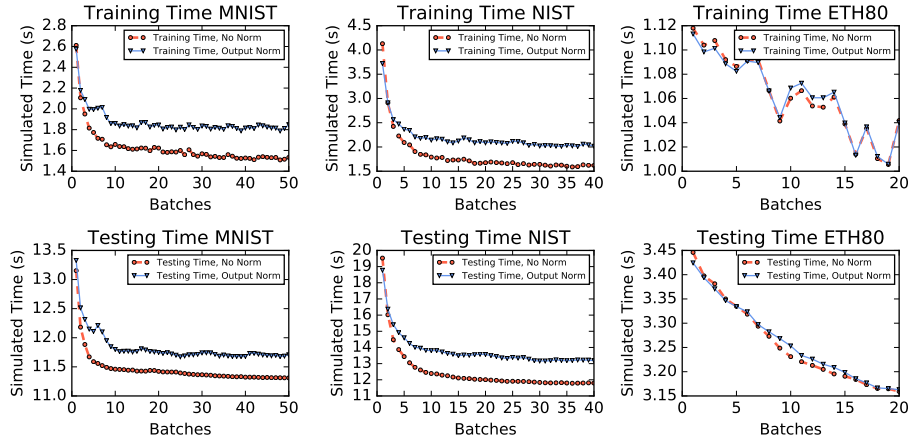


Figure 10: Time Consumption in Each Batch

the post-synaptic neuron which emits the first post-synaptic spike. For example, according to Figure 10, on MNIST, it takes about 1.5 ms for the no-normalization classifier to make the judgment on average in the last few batches. Recall that under 10 ms encoding duration, the input spikes are encoded to a latency ranging from 1 ms to 8 ms. Thus the first-spike of each image cannot arrive earlier than  $t = 1$  ms. This means that the classifier tends to make its judgment using the spikes whose latencies range from 1 ms to 1.5 ms. This implies that the classifier tends to classify the image using only the most salient features of each image, as other features are encoded with latencies ranging from 1.5 ms to 8 ms. In contrast, an output-normalized classifier tends to require more time to settle on a decision, using this time to process more features of each image, thus reducing misclassification. On the ETH80 dataset, the differences between time consumption of the no-normalization classifier and output-normalized classifier are subtle if they exist. Similarly, the performance difference between the no-normalization classifier and output-normalized classifier is also not apparent on ETH80 dataset. Finally,

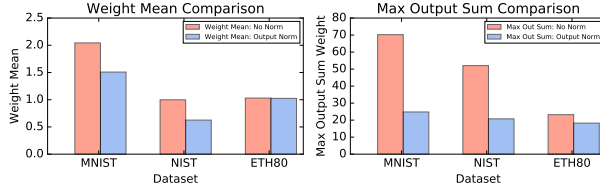


Figure 11: Weight Comparison

we investigated the influence of output normalization on the classifier’s weight. Mean weight is calculated using the weight after the last batch of training process. The left panel of Figure 11 shows that after using an output-normalization rule, the mean weight on MNIST and NIST tasks is less than that of no-normalization classifier. In the right panel of Figure 11, the max output sum is reported using the following expression:

$$W_{\max,S} = \text{Max}(W_i) = \text{Max}\left(\sum_{j=1}^J w_{i,j}\right) \quad (27)$$

441 where  $w_{i,j}$  is the synapse weight from neuron  $i$  to neuron  $j$ . When no output  
 442 normalization rule is configured, there is only our imposed minimum and maximum  
 443 bounding of the strength of each individual synapse. The maximum strength of  
 444 any synapse  $w_{\max}$  is chosen to be 20. Since there are 10 classes in MNIST/NIST  
 445 dataset, each pre-synaptic neuron has 10 output synapses. Thus the maximum  
 446 value of the summed output strength is  $20 \cdot 10 = 200$ , which occurs when each  
 447 of the output synapses departing from one neuron reaches  $w_{\max} = 20$ . When an  
 448 output normalization rule is applied, the output sum of strength is constrained to  
 449 be less than or equal to 30. Figure 11 illustrates this comparison. On both MNIST  
 450 and NIST datasets, both the mean weight and the maximum output sum of weights  
 451 are larger when no normalization rule is applied.

#### 452 **4. Discussion**

453 Several interesting conclusions can be drawn from the sequence of experiments  
454 performed and the corresponding results. One unexpected outcome is that although  
455 the results from the MNIST and NIST are mostly consistent, these results are not  
456 always consistent with those obtained from ETH80-Contour dataset. In the no-  
457 normalization experiments presented in Section 3.2, we compared the performance  
458 of multiple STDP learning windows with different encoding and decoding schemes  
459 without normalization rule. On MNIST and NIST dataset, the performances of  
460 different STDPs are discernible. However, we did not observe such a difference  
461 with the ETH80-Contour dataset. Secondly, the encoding duration of the SNN has  
462 a different influence on ETH80 in comparison with MNIST and NIST, especially  
463 with count-based decoding.

464 The output normalization rule can significantly boost the performance of the  
465 classifier under first-spike decoding scheme on MNIST and NIST dataset, whereas  
466 this trend does not appear on the ETH80-Contour dataset. Combined with the  
467 fact that ETH80 experiments showed a different trend in the no-normalization  
468 experiment as well, we propose several explanations to account for this outcome.  
469 First, this deviation could result from the relatively small number of samples in  
470 ETH80 dataset. It is possible that the number of samples in ETH80 is insufficient  
471 for the classifier to learn a trend obtainable from a larger dataset. Secondly, this  
472 could be a result of the differences of the dimensionality of MNIST/NIST and  
473 ETH80 data. MNIST/NIST consist of images that are converted to a size of  $16 \times 16$   
474 pixels after convolution and max-pooling, whereas the input dimension of ETH80  
475 is  $32 \times 32$  after these operations. This difference is because of the initial size of the  
476 images in ETH80, whose image sizes range from  $377 \times 377$  to  $825 \times 825$ . Finally,

477 the differences could be due to the innate characteristics of the images in ETH80  
478 dataset. More experiments can be executed to investigate the dependence of the  
479 classifier’s performance on different datasets.

480 A complex system, the neural classifier proposed here has many hyper-parameters,  
481 including the time constants of neuronal membrane potential, the time constants  
482 associated with post-synaptic current, the maximum strength for each synapse, the  
483 overall maximum strength of the synapses departing from one neuron, etc. These  
484 parameters affect the dynamics of the whole system and are thus likely to influence  
485 the performance of classifier as they are varied. As a result, the optimization  
486 of these hyper-parameters is to be the subject of future research. Furthermore,  
487 more experiments would to study the effectiveness of the normalization rules on  
488 networks with different neuron models is of great interest. Here, we implemented  
489 the network based on Leaky Integrate and Fire (LIF) model of a neuron’s activity.  
490 However, this is a highly simplified model that mimics real dynamics very coarsely.  
491 In later experiments, the impacts of including more realistic neuron models can be  
492 investigated (e.g. Izhikevich’s model in [32] is popular in neural modeling for the  
493 compromise between complexity and realism it affords).

494 Another interesting avenue for further research is analysis of different decoding  
495 mechanisms. As stated in our work, first-spike decoding tends to consume much  
496 less time than count decoding classifier. On the other hand, first-spike decoding  
497 attains a lower classification accuracy. However, this does not imply that first-  
498 spike decoding is inferior to count decoding. Instead, classifiers with different  
499 decoding rules may compensate for each other’s shortcomings. In scenarios where  
500 classification speed is extremely important, first-spike decoding may be beneficial.  
501 In other situations where classification accuracy is important, a count decoding

502 scheme may prevail. Furthermore, as reported in this work, normalization can  
503 collude differently with different decoding rules, significantly improving accuracy  
504 of first-spike decoding.

## 505 **5. Conclusion**

506 In this article we build an SNN classifier trained with STDP for image classifi-  
507 cation problems. The proposed classifier is tested on the ubiquitous MNIST, NIST  
508 and ETH80 datasets. A spike latency encoding system is applied to the images  
509 and several configurations of the proposed classifiers are tested, including different  
510 STDP learning windows, decoding schemes, encoding durations and normalization  
511 rules.

512 In the no-normalization experiments, we test the performance of the SNN clas-  
513 sifier using four different STDP learning windows, three encoding durations, and  
514 two decoding schemes. Results show that on MNIST and NIST, the classification  
515 accuracy using count decoding is significantly improved over first-spike decoding.  
516 Further, under the count decoding scheme, classical STDP achieves the highest  
517 average accuracy on both MNIST and NIST dataset. Although first-spike decoding  
518 scheme tends to have a lower classification accuracy, it tends to use much less  
519 time than count decoding. On MNIST and NIST dataset, the time consumption of  
520 first-spike decoding is no more than 25% of that of count decoding. Finally, under  
521 the count decoding scheme, as the encoding duration increases from 10 ms to 34  
522 ms, the classification accuracy decreases (on MNIST and NIST data, but notably  
523 not ETH80).

524 In the normalization experiments, we test performance of our SNN classifiers  
525 using four different STDP learning windows, three normalization rules, and two de-

526 coding schemes. These results show that normalization rules could not significantly  
527 enhance the classifier’s accuracy under the count decoding scheme. However, un-  
528 der a first-spike decoding scheme, output normalization can significantly improve  
529 the classifier’s accuracy. Further investigation shows that this is because output  
530 normalization prevents the classifier from spiking too early. This result holds for  
531 MNIST and NIST datasets.

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## 618 **Appendix**

### 619 *Choices of parameters*

620 We choose  $V_{\text{reset}} = -74\text{mV}$ ,  $V_{\text{rest}} = -70\text{mV}$ ,  $V_t = -55\text{mV}$ ,  $\tau_m = 20\text{ms}$ ,  $\tau_n =$   
621  $10\text{ms}$ , and  $\alpha = 10\text{mV}$ ,  $w_{\text{max}} = 20$ .

622